



# Dysphagia & Carcinoma of Esophagus

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# Dysphagia

- Greek *dys* (difficulty, disordered) and *phagia* (to eat)
- Sensation that food is hindered in its passage from the mouth to the stomach
- Most patients complain that food
  - “sticks,” “hangs up,” “stops,” or “just won't go down right”
- Anatomically classified into two categories:
  - Oropharyngeal
  - Esophageal.
- Psychiatric disorders can amplify this symptom.

# History

Three questions are crucial:

1. What type of food or liquid causes symptoms?
  - Primarily solids
    - Structural lesion- peptic stricture, ring, or malignancy
  - Both solid and liquid
    - a motility disorder like achalasia or scleroderma
2. Is the dysphagia intermittent or progressive?
  - Esophageal rings tend to cause intermittent solid food dysphagia
  - Strictures and cancer cause progressive dysphagia
3. Does the patient have heartburn?
  - Complication of GERD- Esophagitis, stricture & Barrett's

- Location of dysphagia
- Weight loss
- Dietary changes
- Dysphagia must be distinguished from odynophagia
  - Associated with an inflammatory condition (esophagitis)

# Examination

- Head to toe
  - Signs of wasting
  - Examine the mouth
  - Palpate the neck (nodes, thyroid, supraclavicular fossae)
  - Examine the abdomen

# Diagnostic Tools

- Esophagogram
- Endoscopy
- Esophageal Manometry
- pH probe
- Esophageal Ultrasound
- CT, MRI

## Esophagogram

- Double-contrast barium esophagogram
- Usually the first specific diagnostic test in the evaluation of esophageal dysphagia
- Detect subtle narrowing or esophageal webs that may not be appreciated on endoscopy

## Endoscopy

- Procedure of choice to evaluate the mucosa of the esophagus
- Detection of structural abnormalities
- **Flexible esophagoscopy**
  - Transorally
  - Diameters approaching 1cm
  - Allows the insufflation of air to distend the esophagus and more easily see all of the mucosa
  - Magnified view, suction, irrigation, and biopsy ports.
  - If mucosal lesions or irregularities are found multiple biopsies are taken with biopsy forceps passed through the biopsy port

## Esophageal Manometry

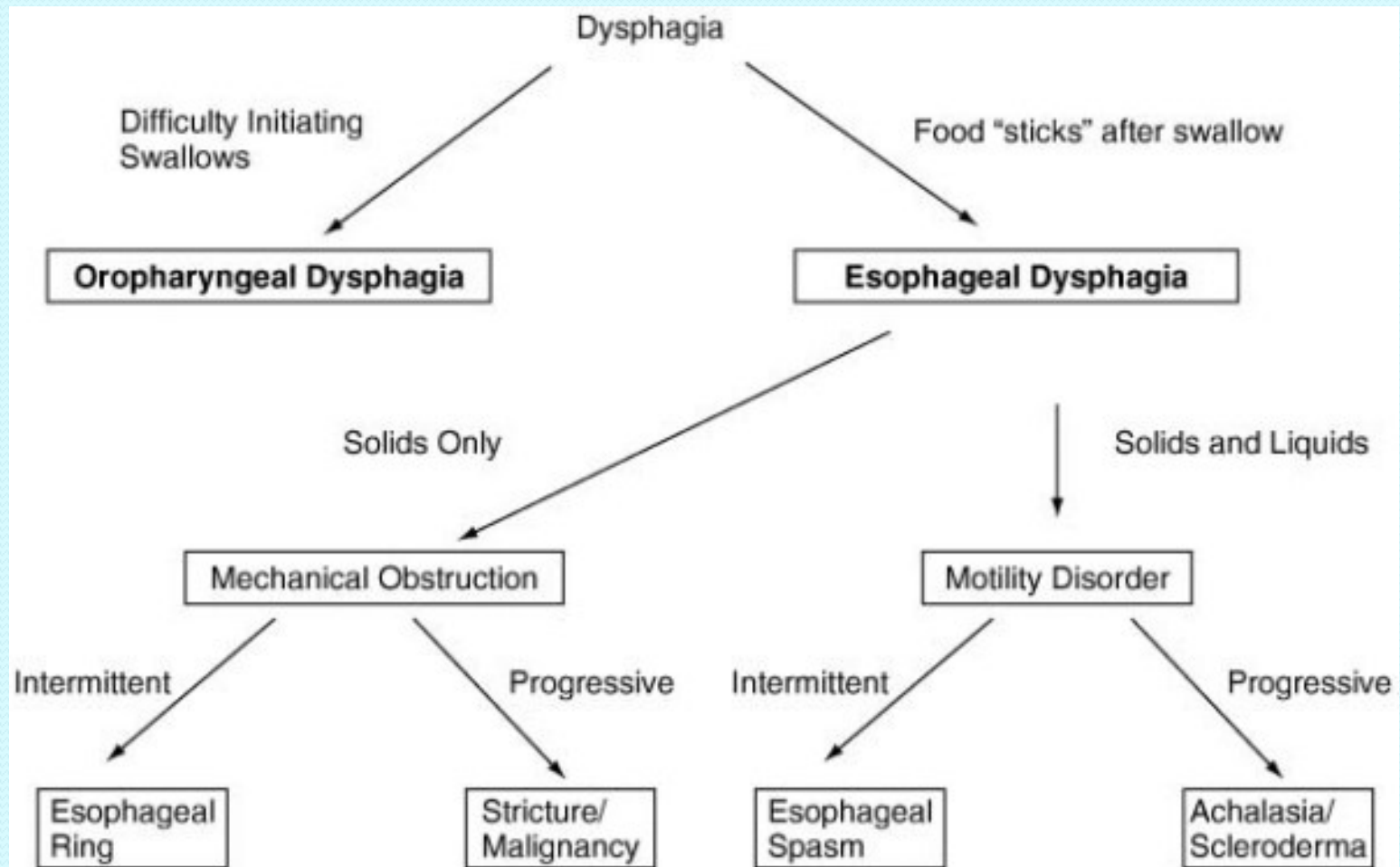
- Measures intraluminal pressures
  - LES, esophageal body & UES
- With each swallow
  - Strength
  - Timing
  - Sequencing of pressure events
- Indicated for patients who need recurrent intraluminal pressure assessment
  - Achalasia
  - Diffuse esophageal spasm

## pH probe

- Ambulatory 24-hour esophageal pH monitoring
  - Gold standard for the diagnosis of GERD
  - Detect and quantify gastroesophageal reflux

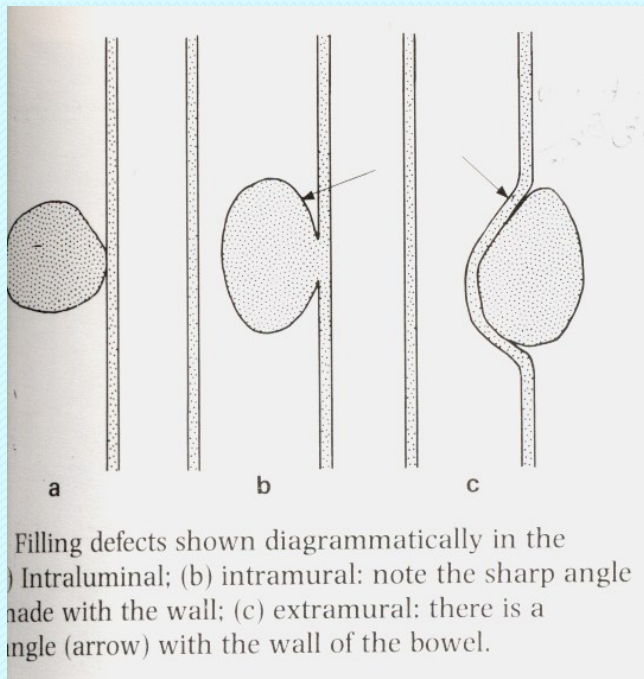


# Causes of Dysphagia



## CAUSES

- Intraluminal
- Intramural
- extramural



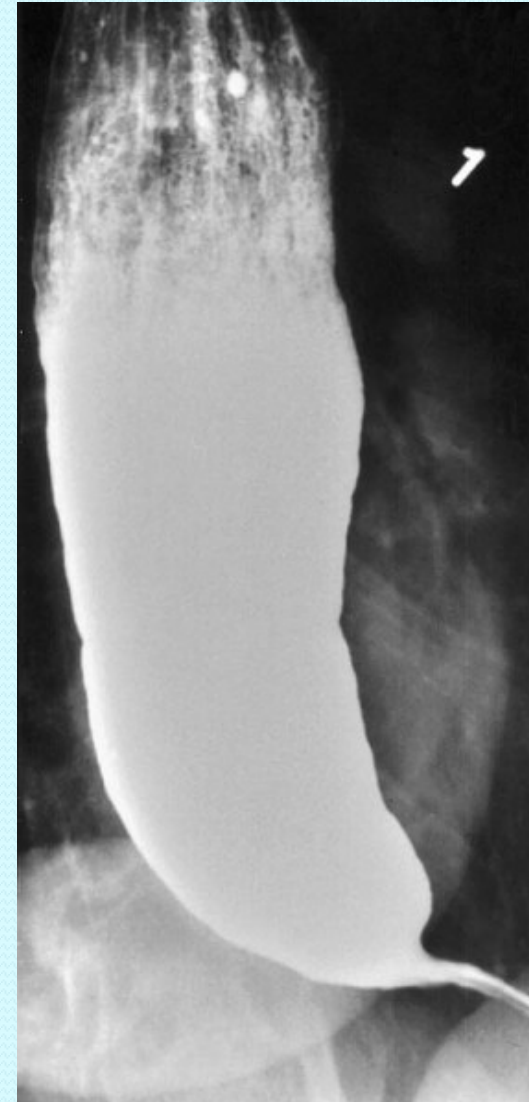
- Dysphagia lusoria
- Rolling hernia
- Goitre
- Foreign body
- stricture/stenosis
  - Malignant
    - Adenocarcinoma
    - Squamous carcinoma
  - Benign
    - Leiomyoma
    - Polyps
    - Corrosive injury
    - Barret's esophagus
- Esophageal motility disorders
  - Achalasia
  - Diffuse esophageal spasm

# Achalasia

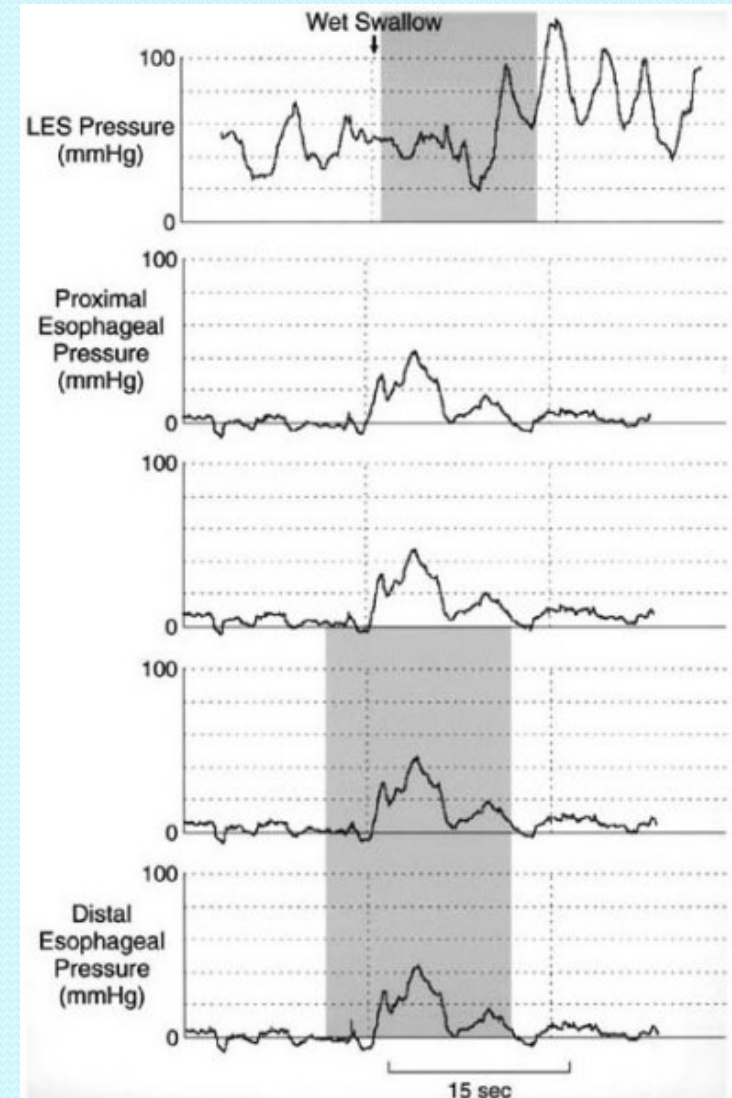
- Primary esophageal motility disorder
  - Insufficient LES relaxation
  - Loss of esophageal peristalsis
- **Pathology**
  - Loss of ganglion cell in the myenteric plexus
  - Infiltrate of T lymphocytes, eosinophils, and mast cells
- **Symptoms**
  - Dysphagia to solids and liquid
  - Regurgitation
  - Chest pain

- **Diagnostic study**

- Barium esophagram
  - Esophageal dilation
  - Closed LES
  - Loss of peristalsis
  - Bird's beak



- Esophageal manometry
  - Establish the diagnosis
    - Absent or incomplete LES relaxation
    - Loss of peristalsis



- Endoscopy
  - Exclusion of pseudoachalasia by carcinoma at the GE junction



# Treatment

- Pneumatic dilation

- Should be a surgical candidates
  - 2% to 5% risk of perforation
- After dilation need a gastrograffin study to exclude esophageal perforation
- Good to excellent relief of symptoms in 50% to 93% of patients

- Surgical myotomy

- Myotomy across the LES
- Laparoscopy with a response rate of 80% to 94%
- Complication- GERD in 10% to 20%

- High risk for pneumatic dilation or surgery
  - Botox
    - Effective in about 85% of patients
    - Symptoms recur in more than 50% of patients after 6 months
  - Pharmacologic treatment with nitrates or calcium-channel blockers

# Diffuse esophageal spasm (DES)

- Simultaneous and repetitive contractions in the esophageal body
- Normal LES relaxation
- Dysphagia if the contraction amplitudes are low
- Chest pain if the contraction amplitudes are high

- **Diagnosis**

- Esophagogram
  - "corkscrew" esophagus
- Manometry
  - Simultaneous and repetitive contractions in the esophageal body

- **Treatment**

- Medications that relax the esophagus
  - Nitrates and calcium-channel blockers



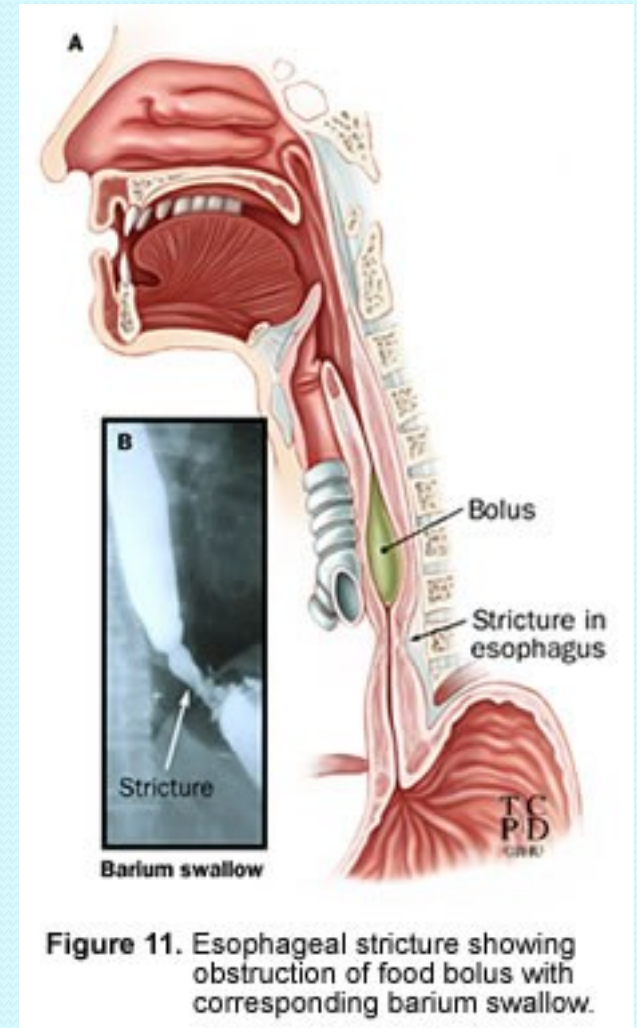
# Scleroderma

- Connective tissue disease
- Peristalsis is absent in the distal two-thirds
- Mild dilation of the distal esophagus
- LES becomes incompetent
- Associated
  - Aspiration pneumonia
  - Reflux esophagitis with Barrett's esophagus



# Esophageal Strictures

- Loss of lumen area
  - Normal 20 mm in diameter
- Dysphagia main symptom
  - Less than 15 mm
- Worse with large food pieces such as meat and bread
- Acid/peptic stricture accounting for the majority of cases (60%–70%).



# Etiology of esophageal strictures

## Intrinsic strictures

Acid peptic

Pill-induced

Chemical/lye

Post-nasogastric tube

Infectious esophagitis

Sclerotherapy

Radiation-induced

Esophageal/gastric malignancies

Surgical anastomotic

Congenital

Systemic inflammatory disease

## Extrinsic strictures

Pulmonary/mediastinal malignancies

Anomalous vessels and aneurysms

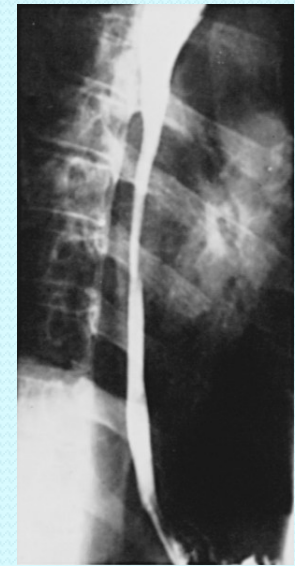
Metastatic submucosal infiltration (breast cancer, mesothelioma, adenocarcinoma of gastric cardia)

# Diagnosis

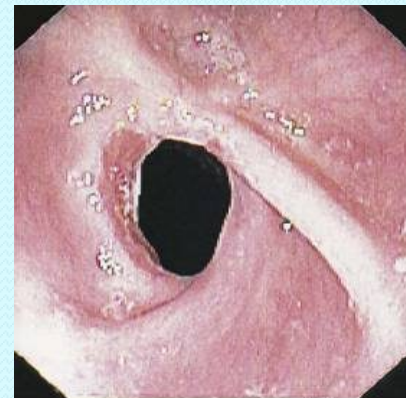
- Esophagogram
  - Initial diagnostic study
  - Delineate the stricture
- Endoscopy
  - Evaluate the mucosa



Distal stricture



Caustic ingestion

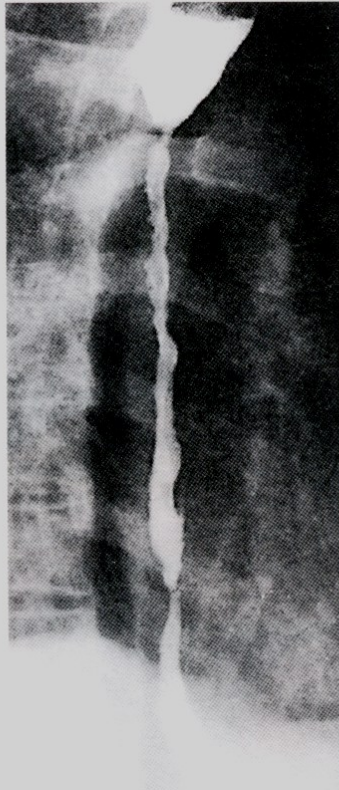


normal mucosa



Barrett's metaplasia

oesophageal stricture.



**Fig. 50.23** Caustic or lye stricture with marked stenosis high in the body of the oesophagus. The strictures are frequently multiple and difficult to dilate unless treated energetically at an early stage.

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**Fig. 5.12** Corrosive stricture.

# Treatment

- Esophageal dilation

- Depends on the length and diameter
  - Tight or complex strictures
  - Less than 10 mm in diameter
  - Greater than 2 cm in length
  - Best managed with wire-guided bougies under fluoroscopic and endoscopic control
- Done progressively over weeks to months with a gradual increase in the diameters of the dilators
- Most patients have relief of dysphagia after dilation to a diameter of 40 to 54 French with no requirement for maintenance dilations
- Radiation-induced or malignant strictures are at higher risk of perforation

# Esophageal Rings & Webs

- **Rings**
  - Circumferential
  - Mucosa or muscle
  - Most commonly occur in the distal esophagus
  - Schatzki's ring occurs at the GEJ
- **Webs**
  - Only part of the esophageal lumen
  - Always mucosal
  - Located in the proximal esophagus
  - Association with iron deficiency (Plummer and Vinson)
- **Symptoms**
  - Intermittent solid food **dysphagia**, aspiration, and regurgitation

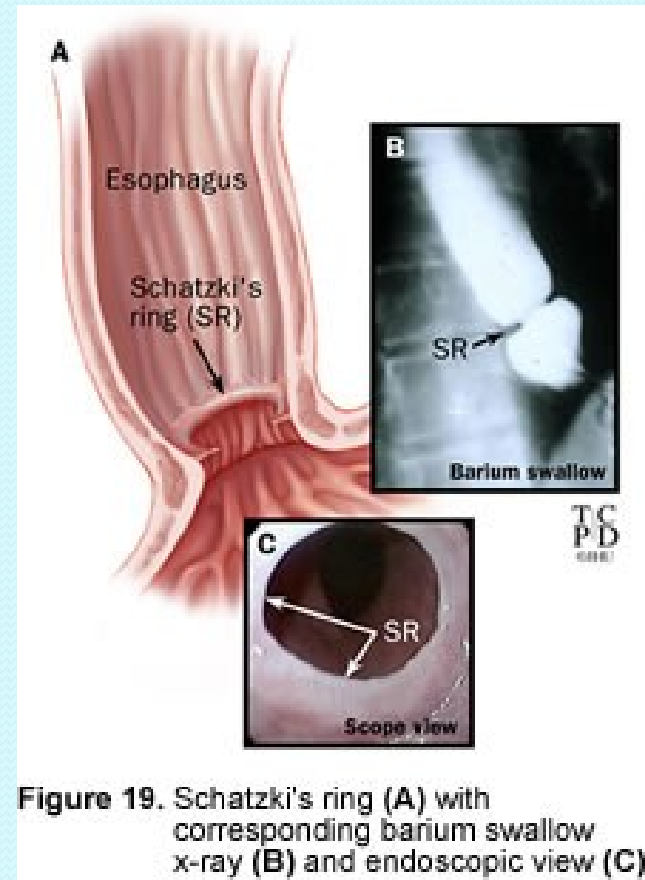
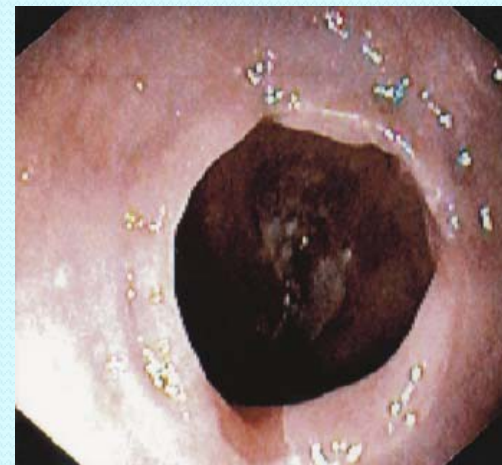


Figure 19. Schatzki's ring (A) with corresponding barium swallow x-ray (B) and endoscopic view (C)

- **Barium Esophagogram**
  - Most sensitive test
- **Endoscopic visualization**
  - Normal-appearing mucosa
  - Cervical webs are associated with carcinoma
- **Treatment**
  - Endoscopic dilation
  - Large bougie or balloon (15 to 20 mm) so as to fracture the ring
  - Refractory rings
    - Pneumatic dilation (large balloon)
    - Electrosurgical incision
    - Surgical resection
    - Treat GERD



# *Dysphagia lusoria*

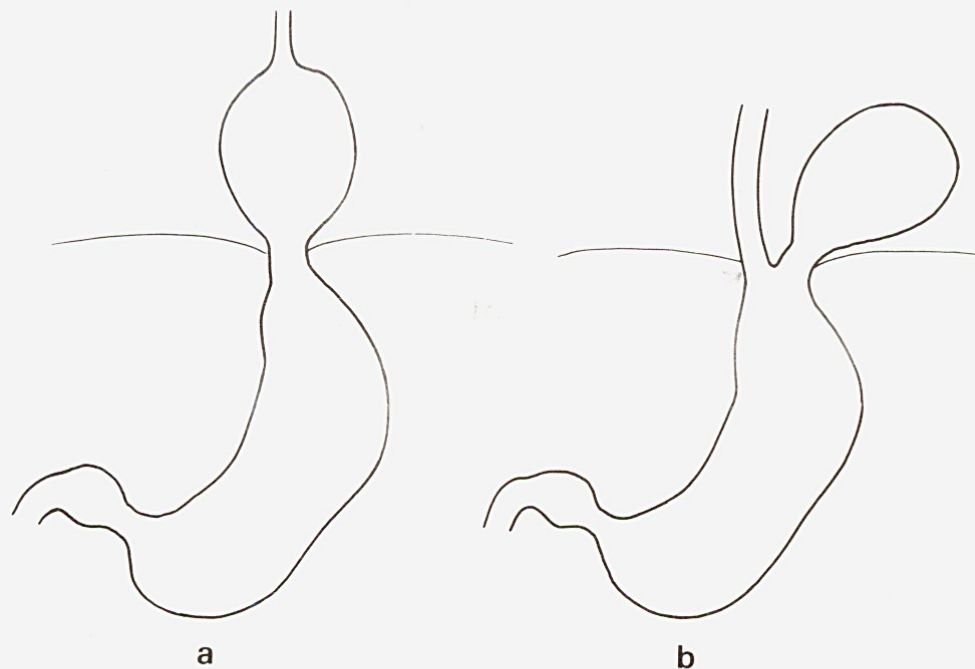
- Aberrant right subclavian artery
  - Arises from the left side of the aortic arch
  - Compress the posterior esophagus
  - 20% of cases anterior
- **Diagnosis**
  - Barium esophagogram
    - Indentation at the level of the third and fourth thoracic vertebrae
  - Confirmation
    - CT, MRI, arteriography, or EUS
  - Endoscopy
    - Right radial pulse may diminish with compression of the right subclavian artery
  - Esophageal manometry
    - High-pressure zone at the location of the aberrant artery
- **Treatment**
  - Symptoms usually respond to changes in diet to soft consistency and small size
  - When necessary, surgery relieves the obstruction by reanastomosing the aberrant artery to the ascending aorta



**Fig. 50.15** Aberrant right subclavian artery causing oesophageal constriction. The words dysphagia lusoria are derived from the Latin *naturae*, meaning sport of nature.

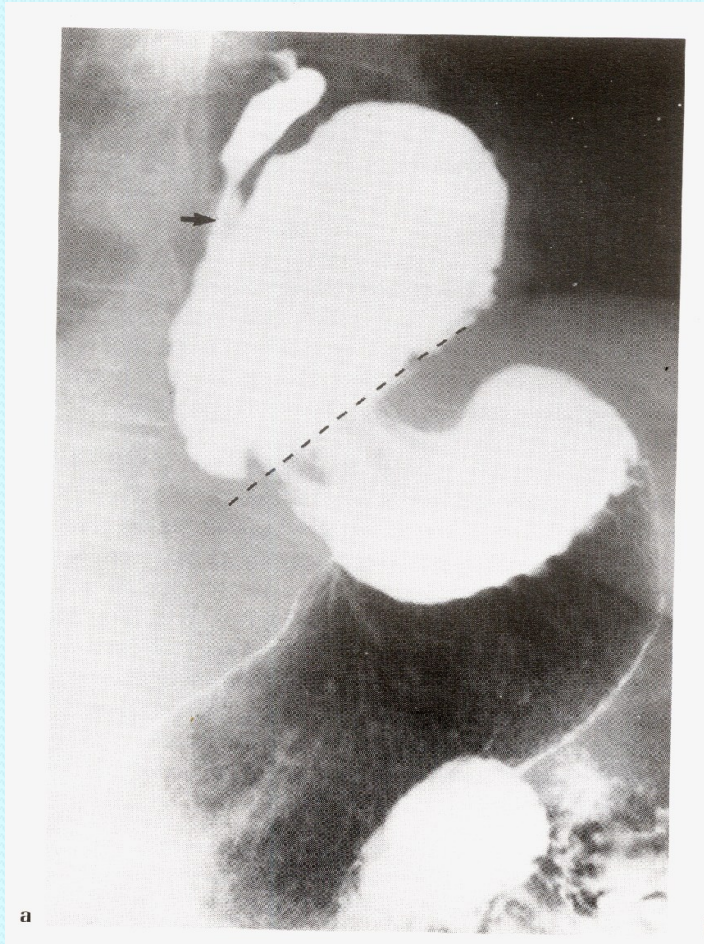
# *Gastroesophageal Reflux Disease*

- GERD is recognized in about 10-15% of the population
- *Reflux esophagitis*
  - Changes in the esophageal mucosa
  - Present in 30% to 40%
- *Barrett's esophagus*
  - 10% to 20%
- *Defects in the esophagogastric barrier such as*
  - LES incompetence
  - Transient relaxation of LES
  - Hiatal hernia

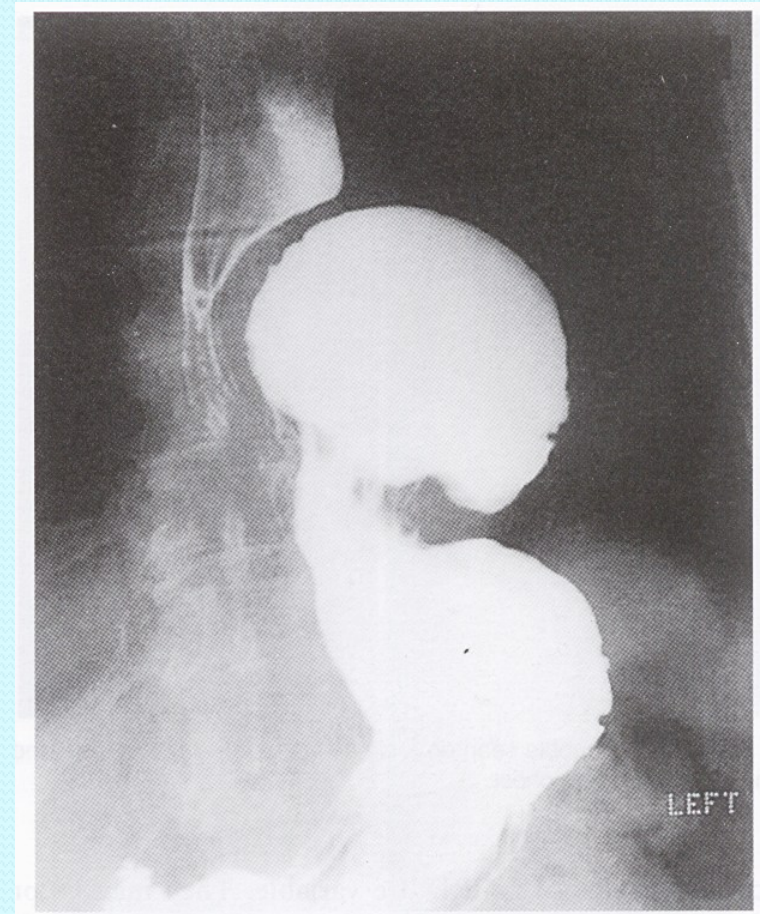


**Fig. 5.33** Hiatus hernia. (a) Sliding: a portion of the stomach and the gastro-oesophageal junction are situated above the diaphragm; (b) rolling or para-oesophageal: the gastro-oesophageal junction is below the diaphragm.

## Sliding Hernia



## Paraesophageal Hernia



- Classic symptom is heartburn
  - Retrosternal burning discomfort and acid regurgitation
  - Other symptoms are dysphagia, odynophagia, and belching
- Laryngopharyngeal reflux (LPR)
  - Hoarseness, dysphagia, increased phlegm and globus sensation



- **Treatment**

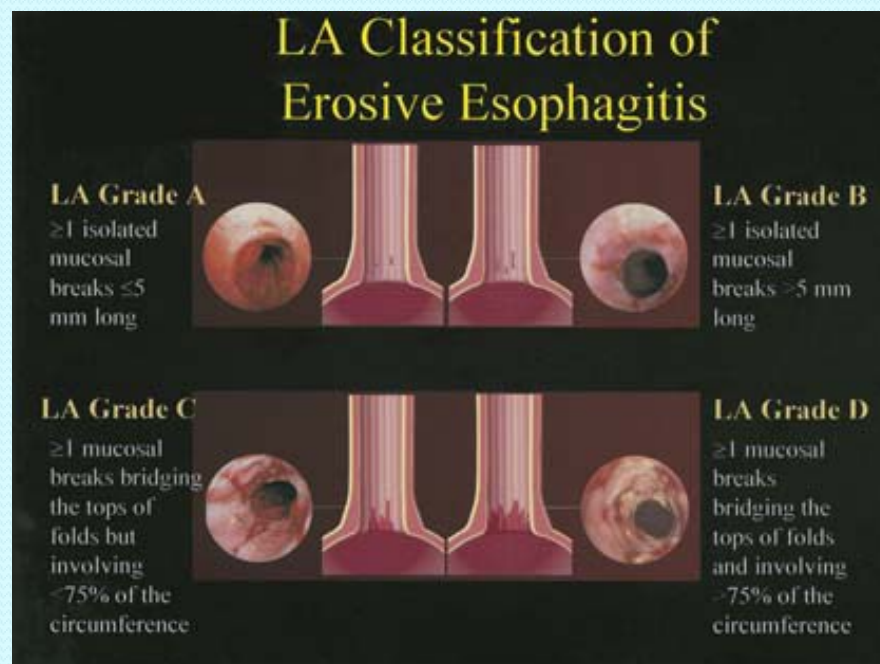
- Initial empiric trial in the absence of alarm signs

- **Diagnostic testing**

- if there is a failure to respond to antisecretory therapy
- if alarm signs such as dysphagia, odynophagia, weight loss, chest pain, or choking are present.

- **Endoscopy**

- Reflux esophagitis
  - Erosions or ulcerations
- pH probe results are normal in 25% of patients with erosive esophagitis

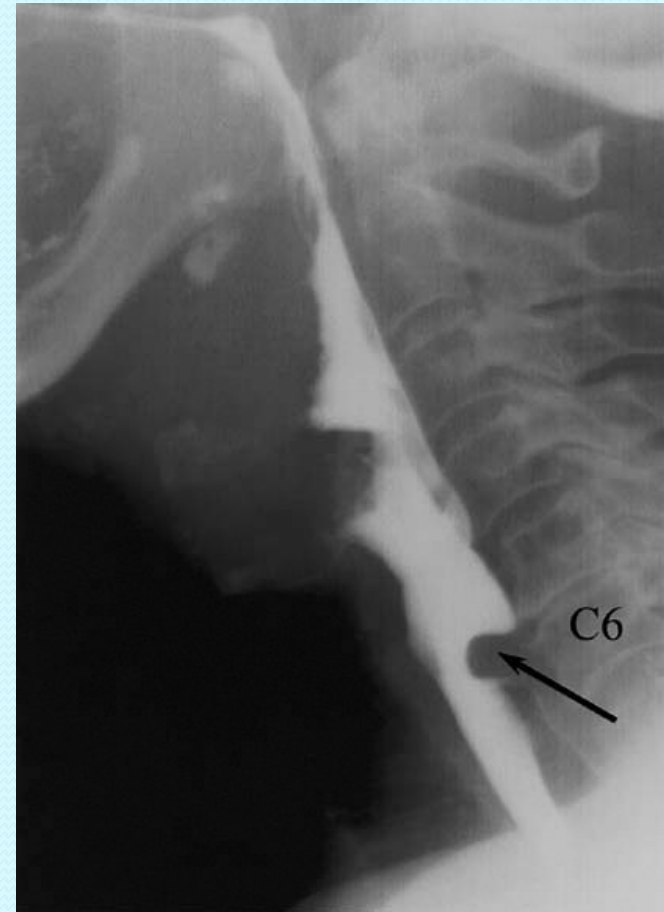


## Barrett's esophagus

- Potentially serious complication of long-standing GERD
- Stratified squamous epithelium of the distal esophagus is replaced by intestinal columnar metaplasia
- Predisposes patients to the development of esophageal adenocarcinoma.

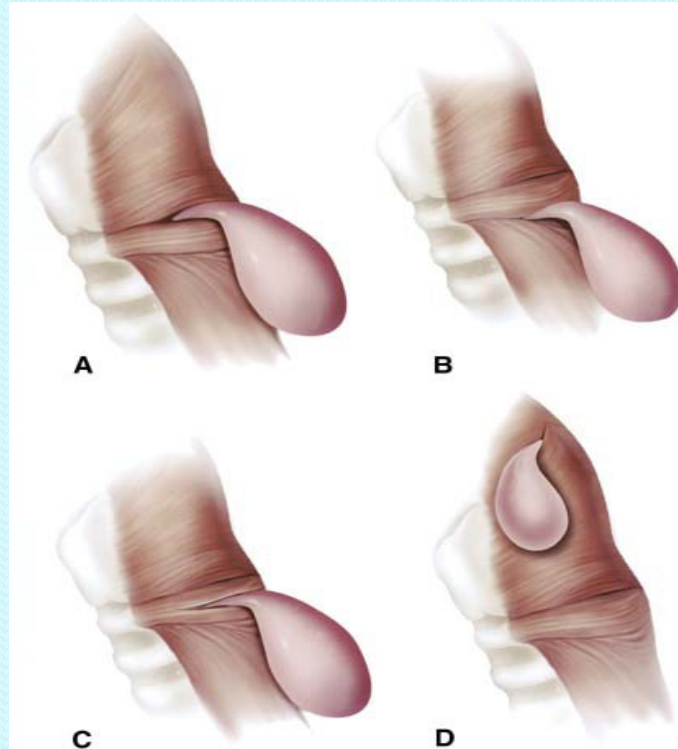
# Cricopharyngeal Dysfunction

- The cricopharyngeus remains contracted between swallows
- **Cricopharyngeal achalasia**
  - Muscle fails to completely relax
  - smooth posterior impression on the hypopharynx

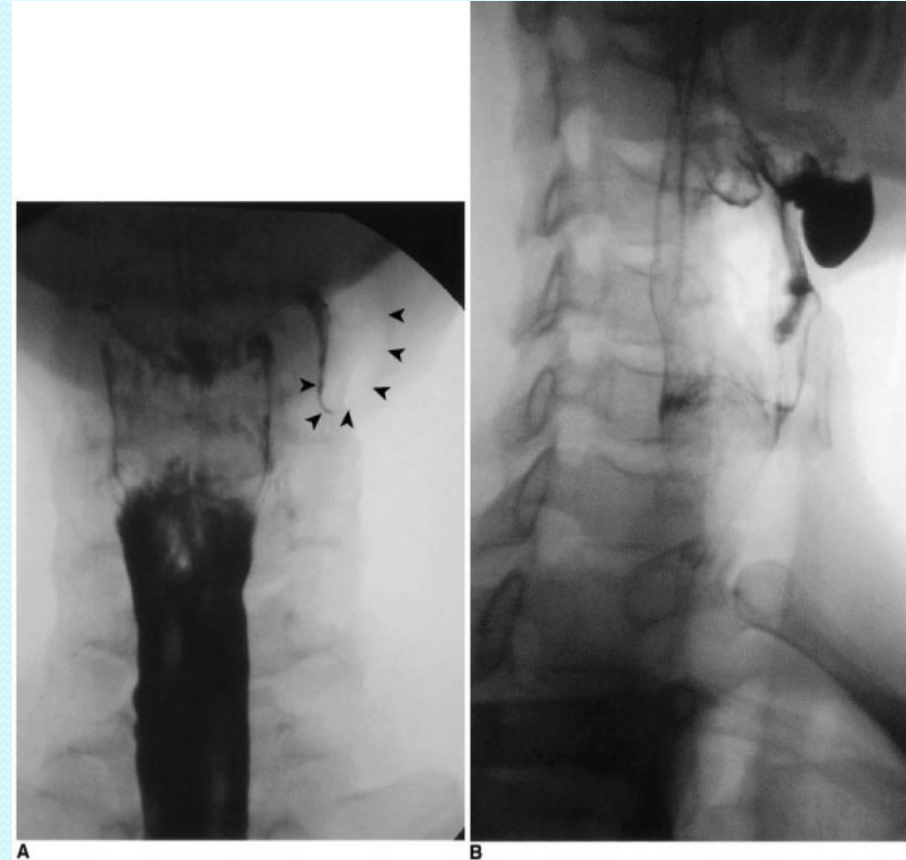


# Zenker's Diverticulum

- Pulsion type diverticulum
  - Herniation of esophageal mucosa and submucosa through an area of weakened esophageal musculature

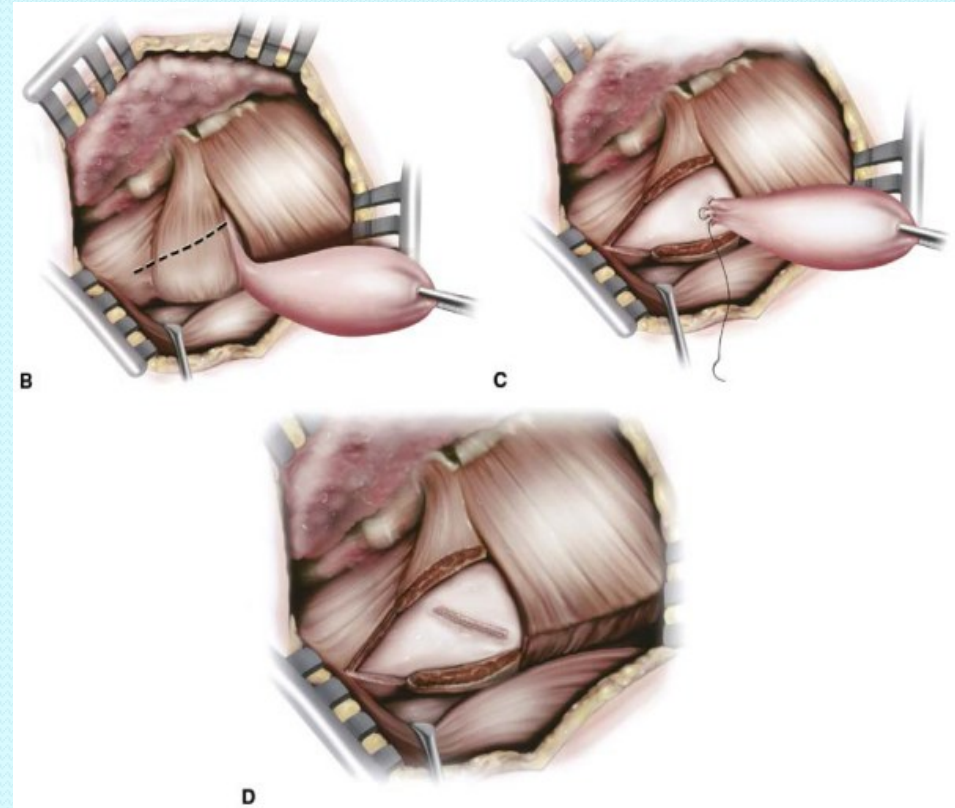


- History
  - Progressive dysphagia
  - Regurgitation of food
  - Unprovoked aspiration
  - Noisy deglutition
- Barium Esophagogram

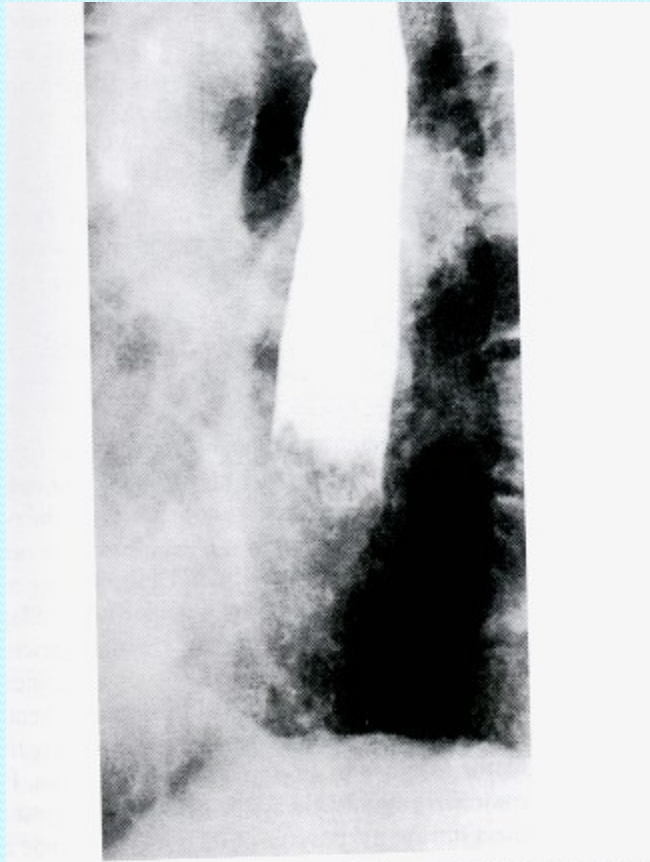


# Treatment

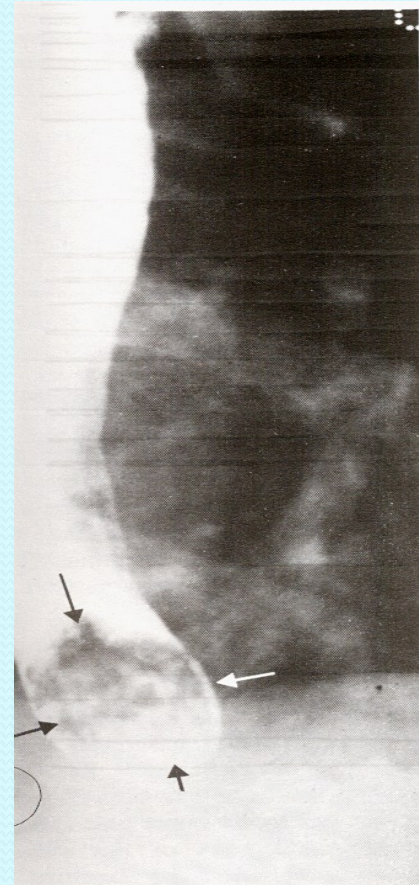
- Surgery
  - Cricopharyngeal myotomy
  - Diverticulum is excised and the defect closed



# Impacted bolus



**Fig. 50.16** An impacted meat bolus at the lower end of the oesophagus may be the first presentation of a benign stricture or a malignant tumour.



Impacted food. A piece of meat is lodged at the lower end of the oesophagus and appears as a filling defect surrounded by barium.

# Leiomyoma



Fig. 50.45 Classic appearance of a benign leiomyoma on barium swallow.



6 Intraluminal polyp which proved to be a leiomyosarcoma.

# Carcinoma of Esophagus

# ETIOLOGY

- Exact cause is unknown.
- **Associated factors are:**
  - Tobacco use
  - Excessive alcohol ingestion
  - Nitrosamines
  - Poor dental hygiene
  - Hot beverages
  - Fungal contamination of food with the production of a carcinogenic mycotoxin.
- **Predisposing conditions**
  - **High risk**
    - Tylosis type A (palmaris & plantaris)
    - Plummer –Vinson synd.
  - **Intermediate risk**
    - Reflux disease & Barrett’ s esophagus
    - Achalasia
  - **Low risk**
    - Esophageal diverticula
    - Corrosive stricture
    - scleroderma

# PATHOLOGY

- **Site**
  - Upper-third of esophagus Ô 4 %.
  - Middle-third of esophagus Ô 12.5 %.
  - Lower-third of esophagus Ô 46 %.
  - Esophagogastric junction Ô 37.5 %.
- **Macroscopic types**
  - Annular stenosing lesion, usually found at cardia.
  - Epitheliomatous ulcer with raised everted edges.
  - Fungating cauliflower-like friable mass.
- **Microscopic types**
  - Adenocarcinoma (60-75%).
  - Squamous-cell carcinoma, is the 2nd commonest type.
  - Oat cell cancer (occasionally).

- **Spread**

- **Direct spread**

- Occur in both transverse & longitudinal directions, & erodes the muscular wall to invade important structures of neck & posterior mediastinum, as well as the left main bronchus & trachea.

- **Lymphatic spread**

- **From cervical esophagus** Ô Supraclavicular lymph nodes
    - **From thoracic esophagus** Ô Paraesophageal & tracheo-bronchial lymph nodes, with downward extension to subdiaphragmatic nodes.
    - **From abdominal esophagus** Ô Lymph nodes along lesser curvature of stomach, & then to celiac nodes.

- **Bloodstream spread**

- Mainly to liver & lungs.

# CLINICAL FEATURES

- **Dysphagia Ô Steadily progressive.**
- **Regurgitation Ô Regurgitated material is alkaline, mixed with saliva, & often streaked with blood.**
- **Anorexia.**
- **Pain.**
- **Weight loss.**
- **Hoarseness (due to recurrent laryngeal nerve palsy).**
- **Cervical lymphadenopathy.**

# INVESTIGATIONS

- ***Radiography with Barium swallow***
  - Demonstrates location & extent of tumor.
- ***Esophagoscopy***
  - Demonstrates macroscopic types, location & extent of tumor.
  - biopsy can be taken for histologic examination.
- ***Bronchoscopy***
  - In upper- or middle-third growth, trachea or left main bronchus may be involved, & a broncho-esophageal fistula may develop.
- ***Exfoliative cytology***
  - May led to discovery of an early carcinoma, when radiology & esophagoscopy have been negative.
- ***Ultrasound***
  - Per abdominal Ô For the detection of secondary liver deposits.
  - Endoscopic Ô Best method for preoperative staging of esophageal cancer.

- ***CT scanning***

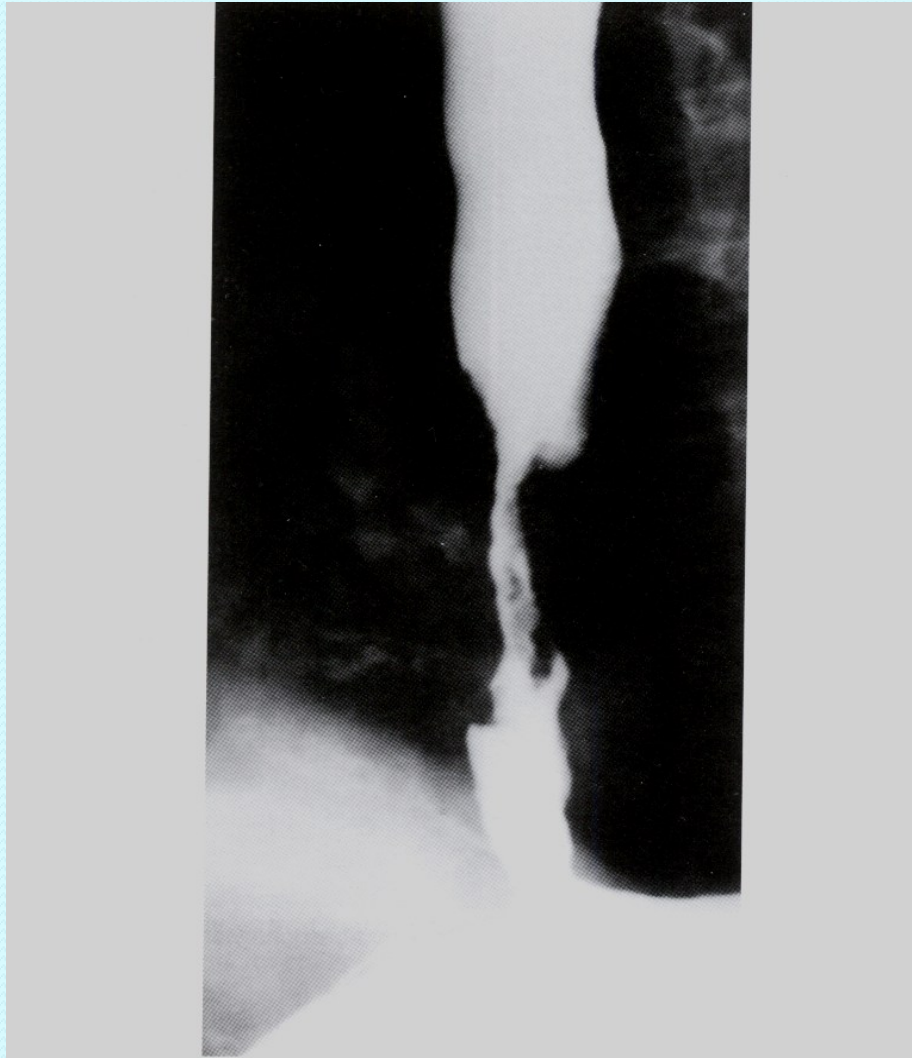
- Performed from suprasternal notch to umbilicus Ô To assess tumor in regard to its length & breadth, & to detect metastasis in mediastinal nodes, lung fields, liver, & celiac nodes.

- ***Laparoscopy***

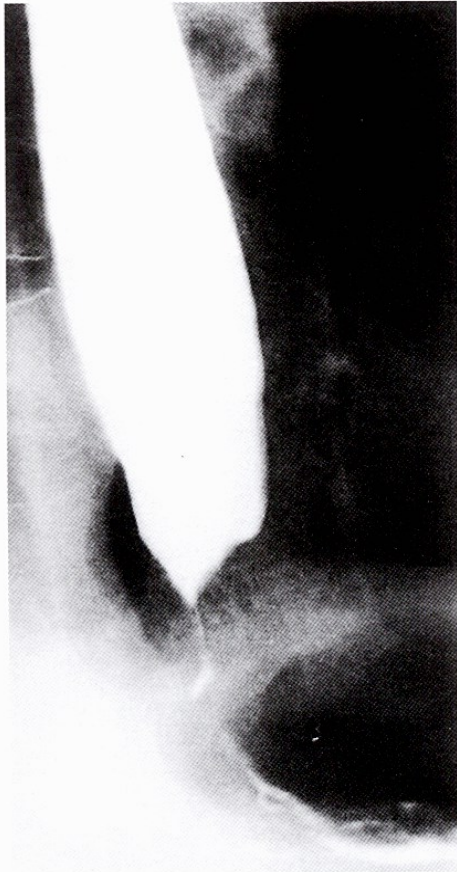
- It is useful for assessing adenocarcinoma of the distal esophagus esp. if it extends below the phreno-esophageal ligament.
- It is useful for detecting transperitoneal spread & liver metastasis.

- ***Routine laboratory tests for surgical fitness***

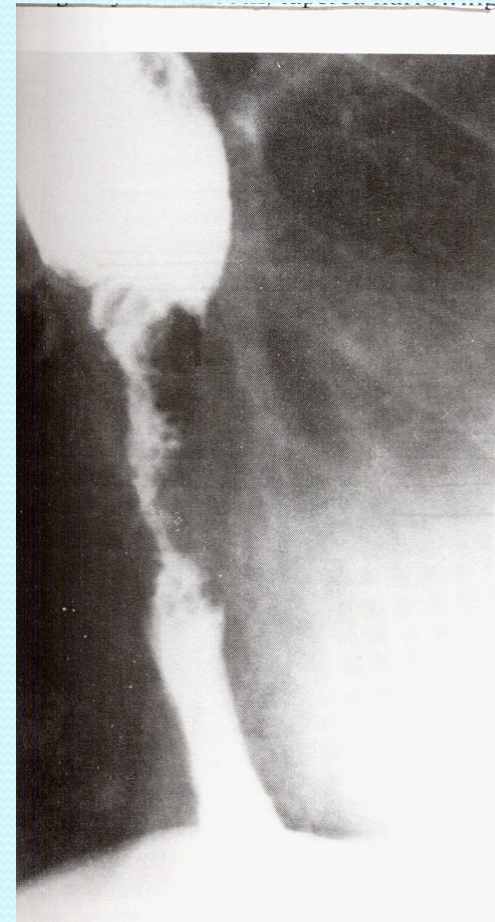
- Blood C/P, ESR
- Hemoglobin percentage
- Liver function tests
- Blood electrolytes
- Blood & urine sugar
- Urine D/R



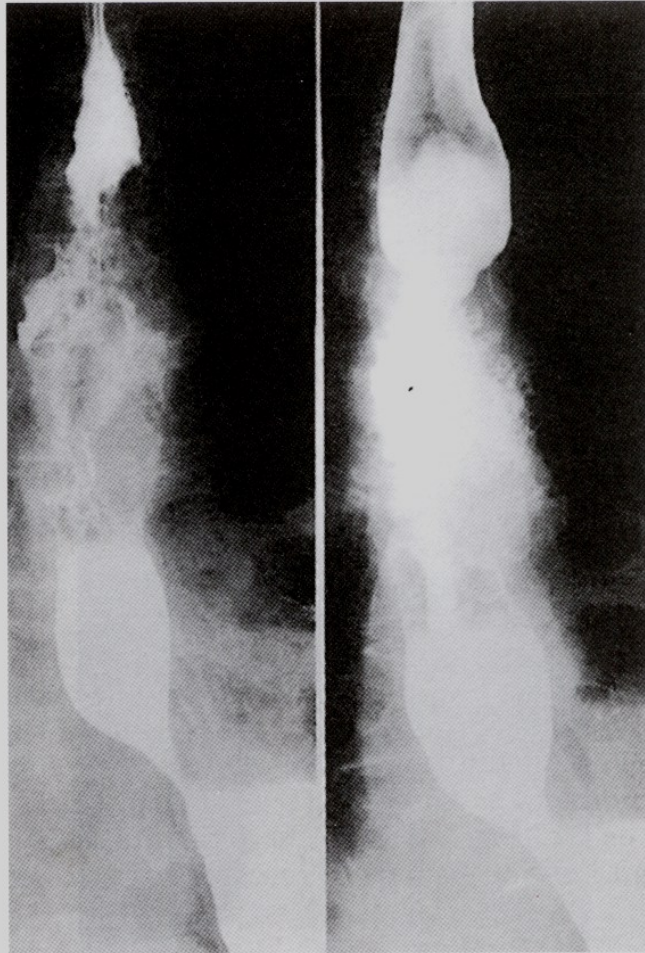
**Fig. 50.48** Squamous cell carcinoma of the oesophagus producing an irregular stricture with shouldered margins.



**Fig. 50.63** Almost achalasia, but note the irregularity of the taper which indicates carcinoma of the cardia.



carcinoma. There is an irregular stricture with (arrow) at the upper end.



**Fig. 50.47** The classic appearances of a mid-oesophageal proliferative squamous cell carcinoma.

# Cancer Staging

- Staging is described by the TNM system:
  - the size and location of the Tumor,
  - whether cancer has spread to nearby lymph Nodes, and
  - whether the cancer has Metastasized (spread to other areas of the body)

### **Primary Tumor (T)**

- T<sub>x</sub> Primary tumor cannot be assessed (cytologically positive tumor not evident endoscopically or radiographically)
- T<sub>0</sub> No evidence of primary tumor (e.g., after treatment with radiation and chemotherapy)
- T<sub>is</sub> Carcinoma *in situ*
- T<sub>1</sub> Tumor invades lamina propria or submucosa, but not beyond it
- T<sub>2</sub> Tumor invades muscularis propria
- T<sub>3</sub> Tumor invades adventitia
- T<sub>4</sub> Tumor invades adjacent structures (e.g., aorta, tracheobronchial tree, vertebral bodies, pericardium)

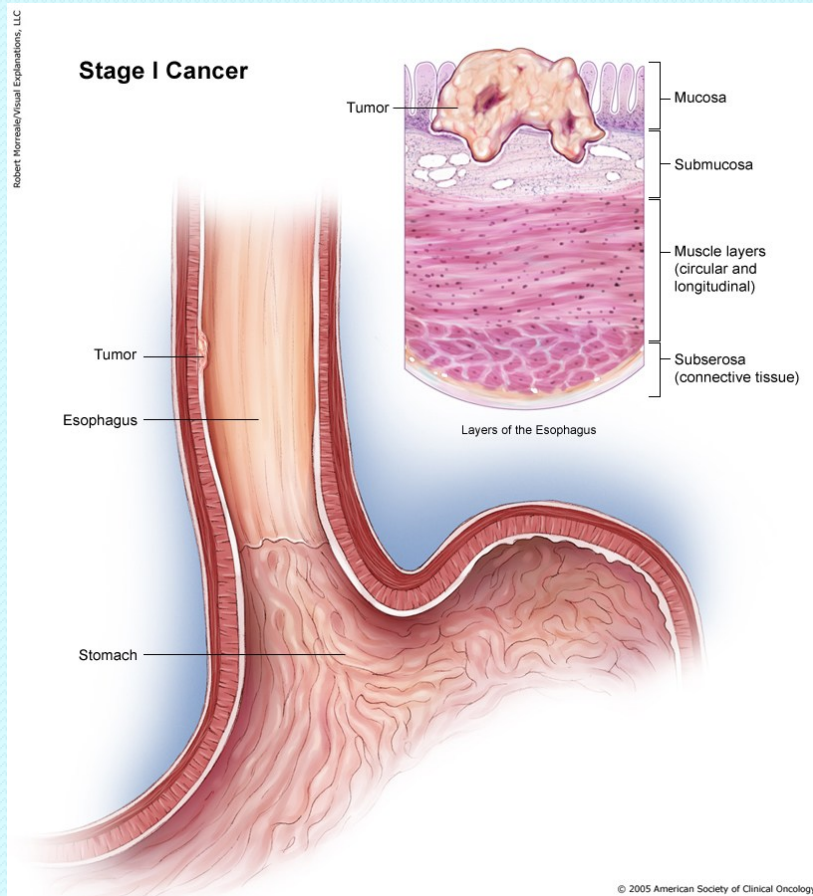
### **Regional Lymph Nodes (N)**

- N<sub>x</sub> Regional nodes cannot be assessed
- N<sub>0</sub> No regional node metastasis
- N<sub>1</sub> Regional node metastasis

### **Distant Metastasis (M)**

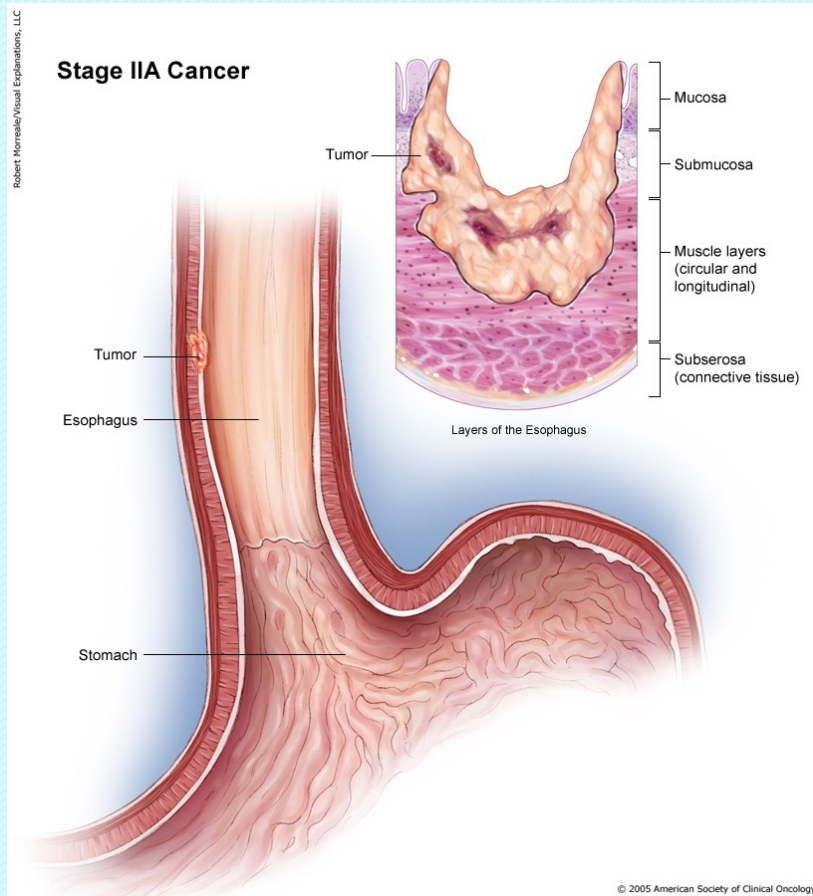
- M<sub>x</sub> Presence of distant metastasis cannot be assessed
- M<sub>0</sub> No distant metastasis
- M<sub>1</sub> Distant metastasis

# Stage I Esophageal Cancer



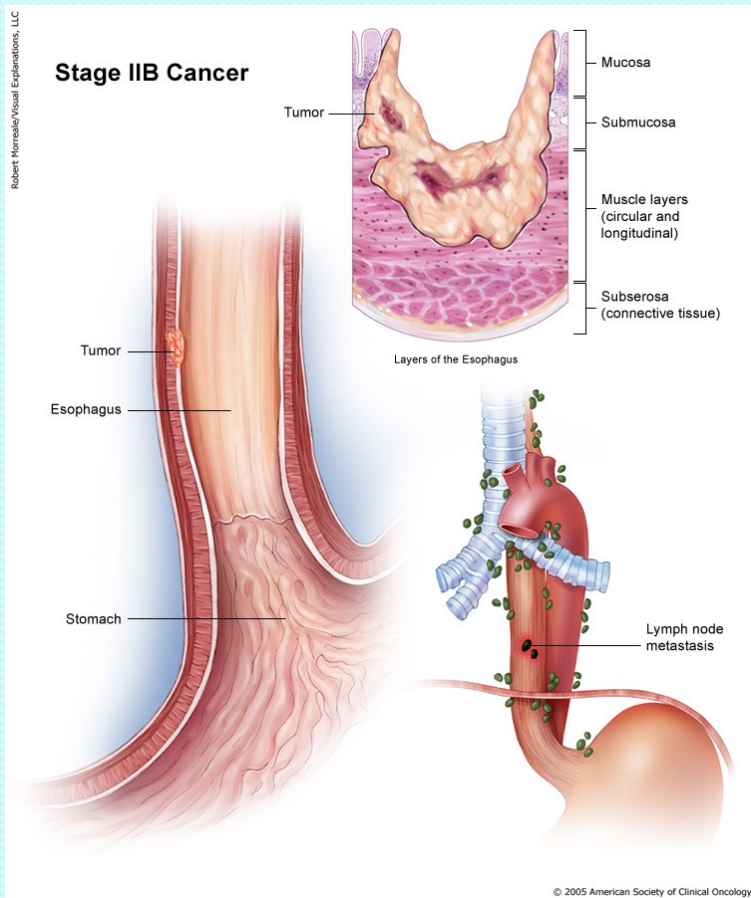
- Cancer is in the lamina propria and submucosa (the two inside layers of the esophagus)

# Stage IIA Esophageal Cancer



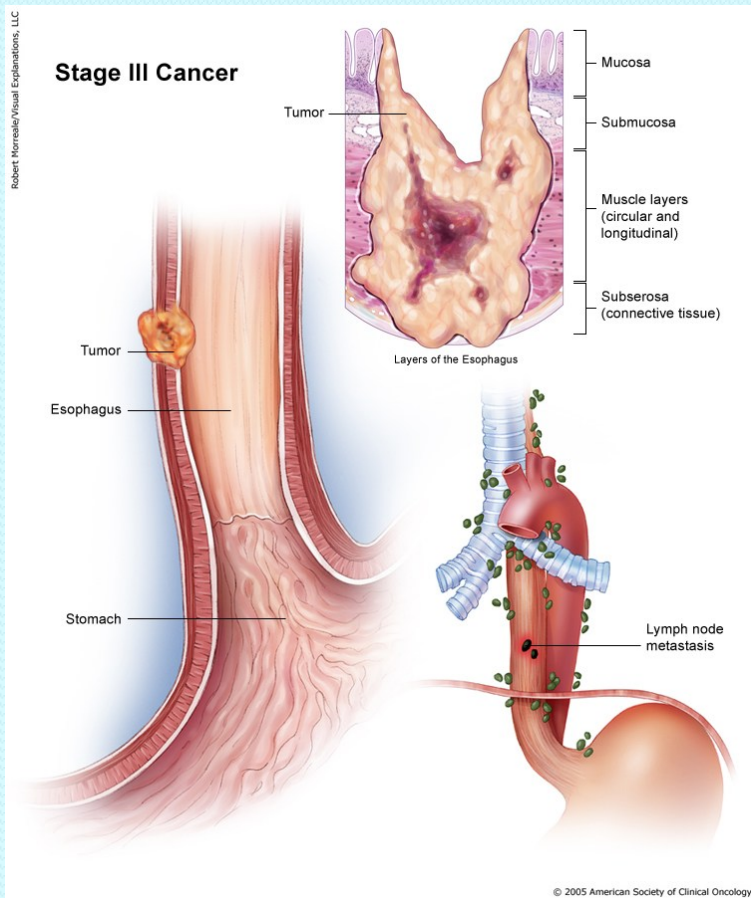
- Cancer is in either of the two outer layers of the esophagus

# Stage IIB Esophageal Cancer



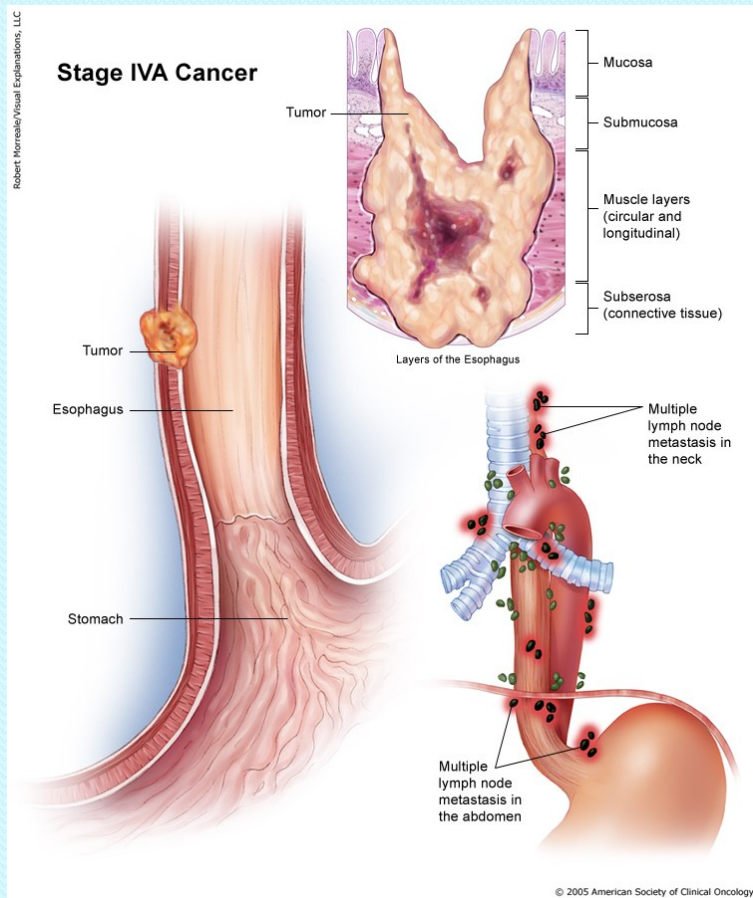
- Cancer is in the outer layers
- Cancer has spread to some lymph nodes near the tumor

# Stage III Esophageal Cancer



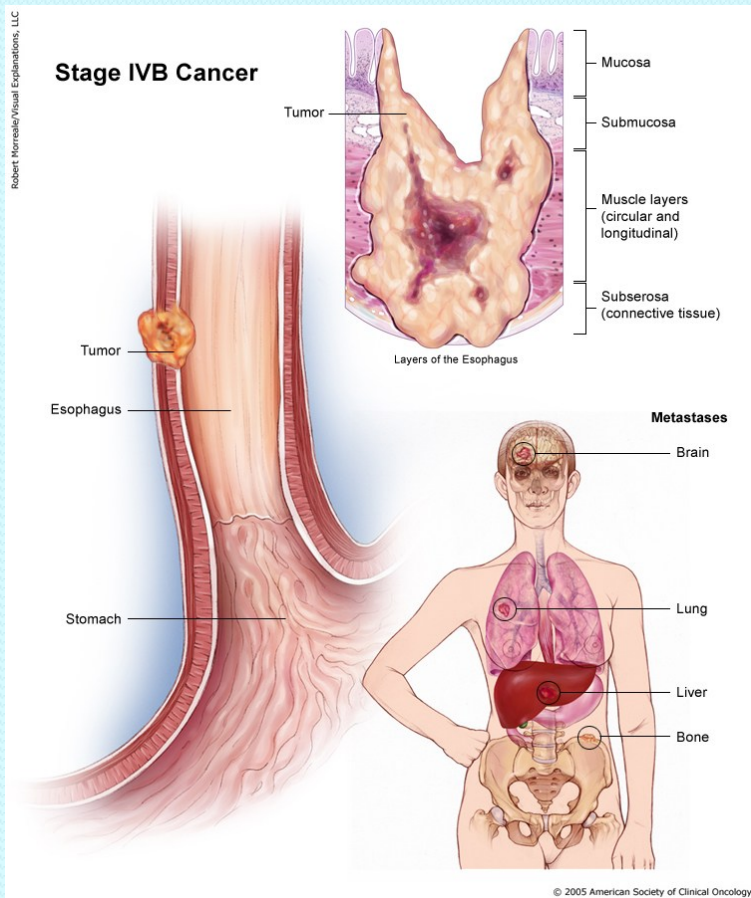
- Cancer is in the outside layer of the esophagus or in the tissue near the esophagus
- Cancer has spread to lymph nodes, either near the tumor or somewhere else in the body

# Stage IVA Esophageal Cancer



- Cancer has spread to the lymph nodes in the abdomen or neck

# Stage IVB Esophageal Cancer



- Cancer has spread to other parts of the body besides the lymph nodes

# TREATMENT

- Curative surgical treatment
- Radiotherapy
- Chemotherapy
- Palliative treatment

# Curative Surgical Treatment

- It involves resection of an appropriate length of esophagus together with any involved stomach & locoregional lymphatics;
- this is attempted providing, patient is fit enough to withstand surgery.

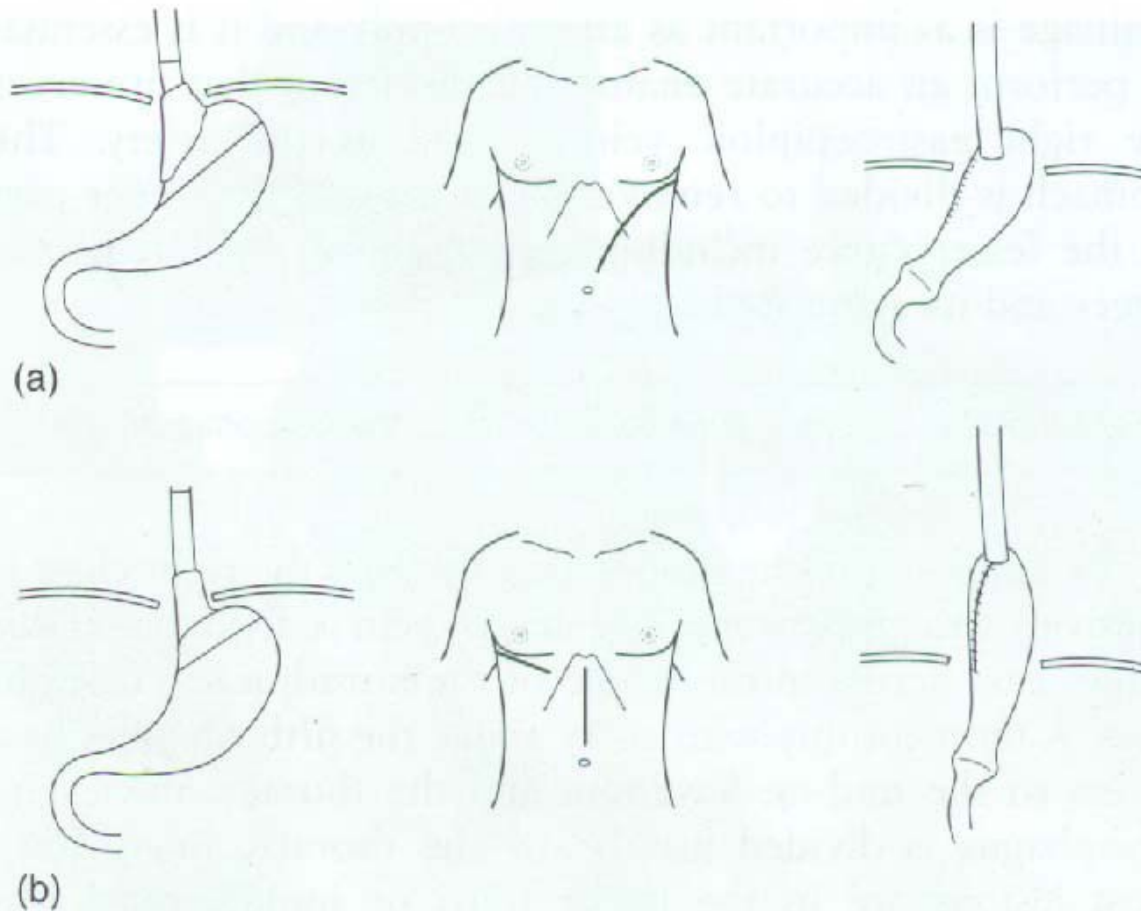
- **Subtotal esophagectomy**

- **Ivor Lewis (Lewis-Tanner) approach**

- It is used for carcinoma of middle or lower thirds.
    - It is carried out thru right 5th rib bed (right thoracotomy), preceded by a laparotomy to mobilize stomach & to confirm the absence of extensive abdominal metastases.
    - Via this approach access for anastomosis is not hampered by aortic arch.

- **McKeown operation**

- It is used for carcinoma of upper third.
    - In addition to right thoracotomy & laparotomy, a third incision is made in the neck.
    - After excision of the growth, the continuity is restored by esophagogastric anastomosis or jejunal or colonic transposition brought up retrosternally or subcutaneously; esophagogastric anastomosis is safest when done in the anterior part of neck.



**Fig. 50.56** The two usual approaches for surgery of the oesophagus are: (a) the thoracoabdominal which opens the abdominal and thoracic cavities together; and (b) the two-stage Ivor Lewis approach where the abdomen is opened first, closed and then the thoracotomy is performed. In the McKeown operation, a third incision in the neck is made to complete the cervical anastomosis.

- ***Transhiatal esophagectomy (without thoracotomy)***
  - It is used for carcinoma of lower third.
  - Stomach is mobilized thru a laparotomy incision & the cervical esophagus is mobilized thru a neck incision.
- ***Left thoracoabdominal approach***
  - It is used for carcinoma of middle or lower third.
  - A long skin & muscle incision is made on the left side with entry into the chest above 7th rib & removal of a short segment of costal cartilage.
- ***Thoracoscopic esophagectomy***
  - Esophagectomy may be done by thoracoscopy or by video-assisted thoracic surgery (VATS) in which a combination of endoscopic & conventional instruments is used thru small thoracic incisions.

# Curative Radiotherapy

- It is an useful alternative to surgery esp. in unfit patients.
- can produce long-term survival.
- traditionally used for squamous cell cancer
- it may also be effective for adenocarcinomas.

# Chemotherapy

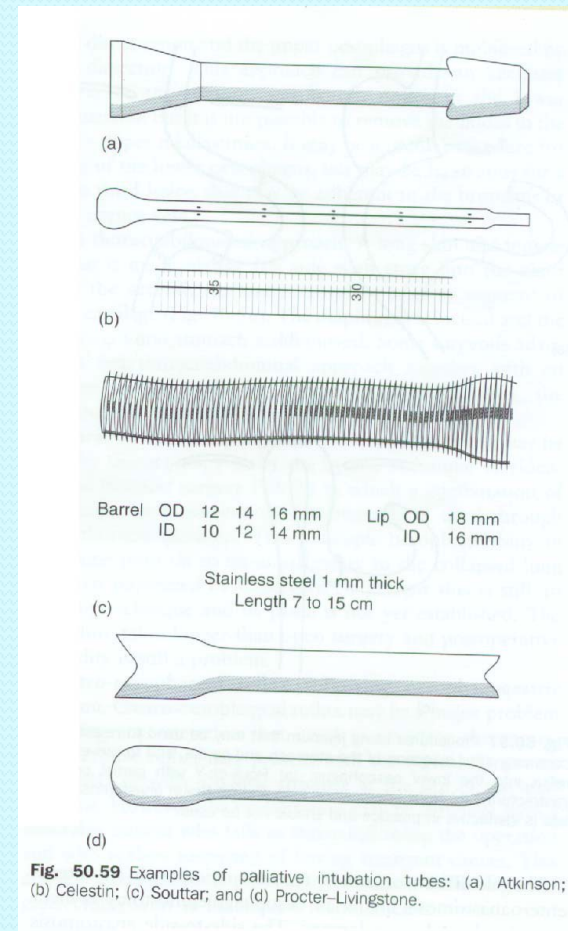
- Regimens containing **cis-platinum, 5-fluorouracil & vinblastine** can produce shrinkage of disease in up to 60 % of cases.
- Best responses are seen in squamous cell cancers.

# Palliative Treatment

- **Aim is to enable the patient to swallow.**
- **It is carried out, if growth is inoperable because of general condition of patient, or presence of metastases. Various alternatives are:**

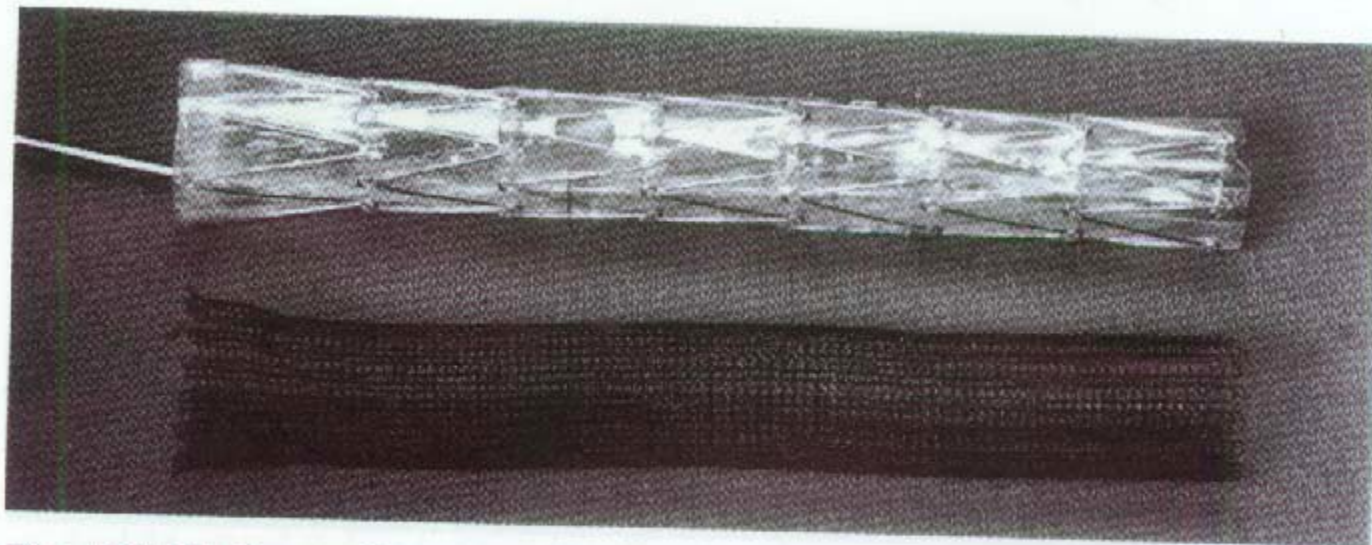
- **Intubation**

- **Atkinson tube** • Placed at endoscopy.
- **Celestin tube** • Introduced by passing tail of tube thru stricture into stomach at esophagoscopy, & then making a small opening in stomach at laparotomy & pulling the tube down until its upper end sits snugly over tumor.
- **Souttar tube** • Stricture is first dilated, & then Souttar tube is passed.
- **Procter-Livingstone tube.**
- **Expanding metal stent** may be inserted under X-ray or endoscopic control.





**Fig. 50.61** Tracheo-oesophageal fistula treated by intubation with a Souttar tube: (a) before; and (b) after.



**Fig. 50.60** Expanding metal stents – covered and uncovered.

- ***Palliative short circuit operation***
  - Palliative esophago-gastrectomy.
  - Esophago-jejunostomy with a Roux-en-Y loop.
- ***Brachytherapy***
  - It is a method of delivering intraluminal radiation with a short penetration distance to a tumor.
- ***Laser treatment***
  - Endoscopic laser treatment may be used to core a channel thru the tumor.
- ***Other methods of endoscopic palliation***
  - Include bipolar diathermy, argon beam plasma coagulation & alcohol injection.

# TERMINAL COMPLICATIONS

- **Unresected, growth causes death in one of the following ways:**
  - **Progressive cachexia & dehydration.**
  - **Pneumonia from perforation into some part of bronchial tree.**
  - **Mediastinitis from perforation into posterior mediastinum.**
  - **Erosion of aorta.**



# The End!